

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	KAPLAN, et al.	Examiner:	WOO, JULIAN W.
Serial No.:	10/007364	Group Art Unit:	3731
Filed:	November 15, 2001	Docket No.:	20043.0003USD4
Title:	METHODS AND APPARATUS FOR TRANSPERICARDIAL LEFT ATRIAL APPENDAGE CLOSURE		

CERTIFICATE OF TRANSMISSION

I hereby certify that the papers listed below are being transmitted by EFS Web to: Mail Stop AMENDMENT,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 12, 2006.

By: 
Name: James A. Larson

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Mail Stop: AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. Please charge deposit account 50-3478 in the amount of \$180.00 for the prescribed fee.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do

not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.



Dated: June 20, 2006

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &
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By: 

James A. Larson
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FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 20043.3USD4	Application Number: 10/007,364
	Applicant: KAPLAN et al.	
	Filing Date: NOVEMBER 15, 2001	Group Art Unit: 3731

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,520,701	5.28.1996	LERCH			
	5,766,687	6.16.1998	RAPPOPORT			
	5,373,840	12.20.1994	KNIGHTON			
	4,369,768	1.25.1983	VUKOVIC			
	4,217,891	8.19.1980	CARSON			
	6,488,689	12.3.2002	KAPLAN et al.			
	2002/0111637	8.15.2002	KAPLAN et al.			
	2002/0099390	7.25.2002	KAPLAN et al.			
	2002/0103492	8.1.2002	KAPLAN et al.			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	